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BT



ATTORNEY DOCKET NO. 064731.0187

PATENT  
Serial No. 09/972,568 3.25-03

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Brad K. Fayette  
Serial No.: 09/972,568  
Filing Date: October 5, 2001  
Group Art Unit: 2185  
Title: METHOD AND SYSTEM FOR COMMUNICATING  
AMONG HETEROGENEOUS SYSTEMS

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Technology Center 2100

Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

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| I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231. |
| <u>Willie Jiles</u><br>Name  |
| <u>March 17, 2003</u><br>Date of Signature   |
| Express Mail No. EV 192226059 US   |

INFORMATION DISCLOSURE STATEMENT

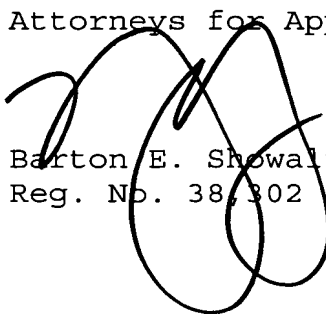
Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

Enclosed is a copy of a PCT International Search Report identifying the references contained in this Information Disclosure Statement. The PCT International Search Report has a mailing date of March 10, 2003. Therefore, I hereby certify that the references contained in this Information Disclosure

Statement were cited for the first time in a communication from a foreign patent office in a related foreign application not more than three months prior to the filing of this Information Disclosure Statement. Furthermore, in the event a patent term adjustment is requested, pursuant to the requirements of 37 C.F.R. § 1.704, Applicant submits that the references contained in this Information Disclosure Statement were received from a foreign patent office in a related foreign application not more than thirty (30) days prior to the filing of this Information Disclosure Statement.

Pursuant to 37 C.F.R. § 1.97(c), Applicant believes that this Information Disclosure Statement has been filed before the mailing date of a final action or notice of allowance. Therefore, Applicant believes that no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicant

  
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Date: March 17, 2003

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|          |                               |                                 |                                |
|----------|-------------------------------|---------------------------------|--------------------------------|
| PTO-1449 | Application No.<br>09/972,568 | Applicant(s)<br>Brad K. Fayette |                                |
|          | Docket Number<br>064731.0187  | Group Art Unit<br>2185          | Filing Date<br>October 5, 2001 |

**Information Disclosure Citation  
In an Application**

MAR 17 2003

**U.S. PATENT DOCUMENTS**

|   | DOCUMENT NO. | DATE     | NAME          | CLASS | SUBCLASS | FILING DATE |
|---|--------------|----------|---------------|-------|----------|-------------|
| A | 5,787,255    | 07/28/98 | Parlan et al. | 395   | 200.63   | 04/12/96    |
| B |              |          |               |       |          |             |
| C |              |          |               |       |          |             |
| D |              |          |               |       |          |             |
| E |              |          |               |       |          |             |
| F |              |          |               |       |          |             |
| G |              |          |               |       |          |             |
| H |              |          |               |       |          |             |
| I |              |          |               |       |          |             |
| J |              |          |               |       |          |             |
| K |              |          |               |       |          |             |
| L |              |          |               |       |          |             |

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**FOREIGN PATENT DOCUMENTS**

|   | DOCUMENT NO. | DATE     | COUNTRY | CLASS      | SUBCLASS   | TRANSLATION |    |
|---|--------------|----------|---------|------------|------------|-------------|----|
|   |              |          |         |            |            | YES         | NO |
| M | 0 429 055 A2 | 05/29/91 | EPO     | H04L 29/06 | H04L 12/46 | X           |    |
| N |              |          |         |            |            |             |    |
| O |              |          |         |            |            |             |    |
| P |              |          |         |            |            |             |    |
| Q |              |          |         |            |            |             |    |

|   | DOCUMENT (Including Author, Title, Source, and Pertinent Pages)  | DATE       |
|---|--|------------|
| R | Stephen E. Deering, "SIP: Simple Internet Protocol," XP-002167397, <i>IEEE Network</i> , May 1993, 13 pages.   | May 1993   |
| S | Thomas E. Daniels and Eugene H. Spafford, "A Network Audit System for Host-based Intrusion Detection (NASHID) in Linux," <i>IEEE</i> , 2000, 10 pages. | 2000       |
| T | PCT International Search Report in International Application No. PCT/IB 02/04083, dated March 10, 2003, 7 pages.                                       | 03/10/2003 |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE